DEPARTMENT OF TRANSPORTATION FEDERAL RAILROAD ADMINSTRATION 97-03-04

REPORTING CARRIER Irailroad and region or division)

OMB No. 04-R-4028

FALSE PROCEED SIGNAL REPORT

7/8/97

All Railroads subject to Regulations of the Federal Railroad Administration shall

submit

a false proceed signal report, original only, to the Federal Railroad Admininstration within five days after a false proceed occurs. If no false proceed occurs during any calender month, a report showing "No Failures" must be filed within ten days after the end of the month.

Copies of this form will be furnished upon request to the Department of

CSX

Transportation Train Control

MAIL TO

Federal Railroad Admin. Suite 440, North Tower 1720 Peachtree Rd., NW 30309 Atlanta, Ga.

REPORTING CARRIER (signature/title.

GENERAL MANAGER SIGNAL MAINTENANCE

A failure should not be counted more than one time in items 1, 2, 3, and 4; the

classified under the basic system or appliance of which it forms an

tial part, E.g.; assume grounds cause a block signal to indicate a false proceed causing corresponding indications of a cab signal system on each train

this point, such failures should be included in item 1, Block System.

art. A-Aut**omatic** AB-Automatic block ACS-Automatic cab signal APR-Absolute permissive block ATC-Automatic train control ATS-Automatic train stop

CL-Color light CPL-Color position light E-Electric

EM-Electromechanical FP-False o MB-Manual M-Machanicai P-Pneumatic PL-Position light

TC-Traffic control LOCOMOTIVE **DEVICE THAT** LOCATION (city and state TYPE OF SYSTEM DATE NUMBER FAIL FD N. E. Waxhaw None 1 BLOCK SYSTEM 7/8/97 **U33730** Waxhaw , NC APB X TC ² INTERLOCKING AUTO-MATIC REMOTE MANUAL **AUTOMATIC SYSTEM** ATC ATS 4 OTHER (specify)

NATURE AND CAUSE OF FAILURE/CORRECTIVE ACTION TAKEN

On July 8, 1997, south bound train U33730 reported to the dispatcher receiving a Medium Approach signal at the north end of Waxhaw siding, which was already occupied by south bound train Q61908. The signal should have been Restricting. U33730 did not take the signal. The dispatcher held the trains in position until signal personnel could arrive and investigate.

Investigation by signal personnel confirmed the false proceed indication. The siding track relay was observed coding. The coding was caused by energy supplied from the track isolation unit. The block operates by reversible DC code. The isolation unit would discharge on the off cycle of DC code in the block. The discharge routed through the axle of the approaching train and was the proper polarity to energize the siding track relay, thereby upgrading the signal. The isolation unit was removed from the circuit and the track relay stopped coding. The crossing and signal location were tested for proper operation and the signals placed back in service.

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The isolation unit was installed as part of a grade crossing warning device installation. The relays were tested and found to be within specification. Two isolation units were installed at a different point in the circuit to prevent the situation from re-occurring. The signal system was tested for proper operation and found to be functioning as intended.