DEPARTMENT OF TRANSPORTATION FEDERAL RAILROAD ADMINISTRATION

FALSE PROCEED SIGNAL REPORT

All railroads subject to Regulations of the Federal Railroad Administration shall submit a false proceed signal report, original only, to the Federal Railroad Administration within five days after a false proceed occurs. If no false proceed occurs during any calendar month, a report showing "No Failures" must be filed within ten days after the end of the month.

Copies of this form will be furnished upon request to the Department of Transportation, Federal Railroad Administration, Office of Safety, Washington, D.C. 20590.

MAIL TO

Director of Railroad Safety FEDER® SALESDAL

Federal Railroad Administration

City Center Square, Suite 1130

1100 Main Street

97 JL -9 A7:11

Kansas City, MO 64105-2112

HANSAS CITT KITSON

Chief Engineer-Signals
The following abbreviations may be used in the report:

REPORTING CARRIER (railroad & region or division)

Union Pacific Railroad 1416 Dodge Street

Roseville Service Unit

Omaha, Nebraska

REPORTING OFFICER (signature/title)

A failure should not be counted more than one time in items 1, 2, 3, and 4; the failure should be classified under the basic system or appliance of which it forms an essential part. E.g.; assume grounds range a block signal to indicate a false proceed causing corresponding indications of a cab signal system on each train approaching this point, such failures should be included in item 1, Block Systems.

A false proceed failure is a failure of a system, device or appliance to indicate or function as intended which results in less restriction than intended.

A = Automatic EM

AB = Automatic block ACS = Automatic Cab Signal

APB = Absolute permissive block ATC = Automatic train control

ATS = Automatic train stop CL = Color light

REPORT FOR (month/year)

DATE

June 1997

July 2, 1997

CPL = Color position light
E = Electric

EM = Electromechanical

EP = Electropneumatic FP = False proceed

MB = Manual block M = Mechanical

P == Pneumatic
PL = Position light
SA = Semiautomatic
TC = Traffic Control

TYPE OF SYSTEM	DATE	LOCOMOTIVE NUMBER	DEVICE THAT FAILED	LOCATION(city and state)
1 BLOCK SYSTEMS AB DAPB DTC	6/13/97	UP1172	None	Crockett, CA
2 INTERLOCKING □ AUTOMATIC □ REMOTE □ MANUAL				
3 AUTOMATIC SYSTEMS □ ATS □ ATC □ ACS				
4 OTHER (Specify)				

On June 13, 1997, at 19:30 PDST, on the Cal-P line of the Martinez Subdivision, eastbound 2CROCKETT13, on track No. 2 observed a Yellow over Yellow at signal 284, the approach to CP A30, with the home signal at CP A30 Red.

An investigation, at the same time of day, was made and revealed the bottom head of approach signal 284 was dark, but appeared to be illuminated yellow from the rays of the sun.

Antiphantom screens were installed to Signal 284 which prevented any further reflections being observed from the sun.