

DEPARTMENT OF TRANSPORTATION  
FEDERAL RAILROAD ADMINISTRATION

REPORT FOR (month/year)

FALSE PROCEED SIGNAL REPORT

DATE **May 10, 2004,**

All railroads subject to Regulations of the Federal Railroad Administration shall submit a false signal report, original only, to the Federal Railroad Administration within fifteen days after a false proceed occurs. If no false proceed occurs during any calendar month, a report showing "No Failures" must be filed within ten days after the end of the month.

REPORTING CARRIER (railroad & region or division)

National Railroad Passenger Corp.  
30th Street Station  
Fourth Floor - South Tower Box 41  
Philadelphia, PA 19104

MAIL TO

Mr. David Myers  
Regional Administrator  
Federal Railroad Administration  
International Plaza Two - Suite 550  
Philadelphia, PA 19103

REPORTING OFFICER (signature/title)

Deputy Chief Engineer  
Communications and Signals

A failure should not be counted more than one time in items 1, 2, 3 and 4; the failure should be classified under the basic system or appliance of which it forms an essential part. E.g.; assume grounds cause a block signal to indicate a false proceed causing corresponding indications of a cab signal system on each train approaching this point, such failures should be included in item 1, Block Systems.

The following abbreviations may be used in the report.

RA - Automatic	EM - Electromechanical
AB - Automatic Block	EP - Electropneumatic
ACS - Automatic Cab Signal	FP - False Proceed
APB - Absolute Permissive Block	MB - Manual Block
ATC - Automatic Train Control	M - Mechanical
ATS - Automatic Train Stop	P - Pneumatic
CL - Color Light	PL - Position Light
CPL - Color Position Light	SA - Semiautomatic
E - Electric	TC - Traffic Control

A false proceed failure is a failure of a system, device or appliance to indicate or function as intended which results in less restriction than intended.

TYPE OF SYSTEM	DATE	LOCOMOTIVE NUMBER	DEVICE THAT FAILED	LOCATION (city and state)
1. BLOCK SYSTEMS <input type="checkbox"/> AB <input type="checkbox"/> APB <input type="checkbox"/> TC				
2. INTERLOCKING <input type="checkbox"/> AUTOMATIC REMOTE <input type="checkbox"/> MANUAL				
3. AUTOMATIC SYSTEMS <input type="checkbox"/> ATS <input type="checkbox"/> ATC <input type="checkbox"/> ACS				
4. OTHER <b>Controlled Point</b>	<b>May 3, 2004</b>		<b>CP 226</b>	<b>Michigan City Mi.</b>

NATURE AND CAUSE OF FAILURE/CORRECTIVE ACTION TAKEN: On May 3, 2004 the Engineman operating Train number 351 westbound reported to the train dispatcher that signal 224W was displaying a Clear signal aspect up against a Stop signal at CP-226 in Michigan City. Signal department personnel dispatched to investigate this report were able to verify, and reproduce the false proceed signal aspect observed by train number 351 at intermediate signal 224W. An improperly wired GRS SA-1 signal mechanism at CP-226 allowed the 2RRGPR (Red Mechanism Repeater) and the 2RAHDGPR (Yellow, Green Repeater) to become energized at the same time. This resulted in track circuit code-4 being transmitted from CP-226 to 224W signal location. This caused the 224W to display a Clear Signal aspect into CP-226 Stop signal. The improperly wired GRS SA-1 signal mechanism located at CP-226 was corrected, and is now wired according to the signal circuit plans. Signal aspects tests were completed, and the signal system is now functioning as intended. It is not known how this error in wiring occurred. This CP has not been modified since its cutover around 1979. Checking the internal wiring of a signal mechanism is not a normal field activity unless there is a problem, and there is no reason to believe that circuits has been modified by field forces for any reason. As a precautionary measure signal department personnel will conduct tests at all locations on the Michigan line to ensure that this type of incident doesn't occur in the future.

MAY 11 2004